

# Biologic Subtypes of SCLC and their Clinical Significance

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Disclosure: Dr. Gazdar receives licensing fees for the cell lines he has established

# Acknowledgments

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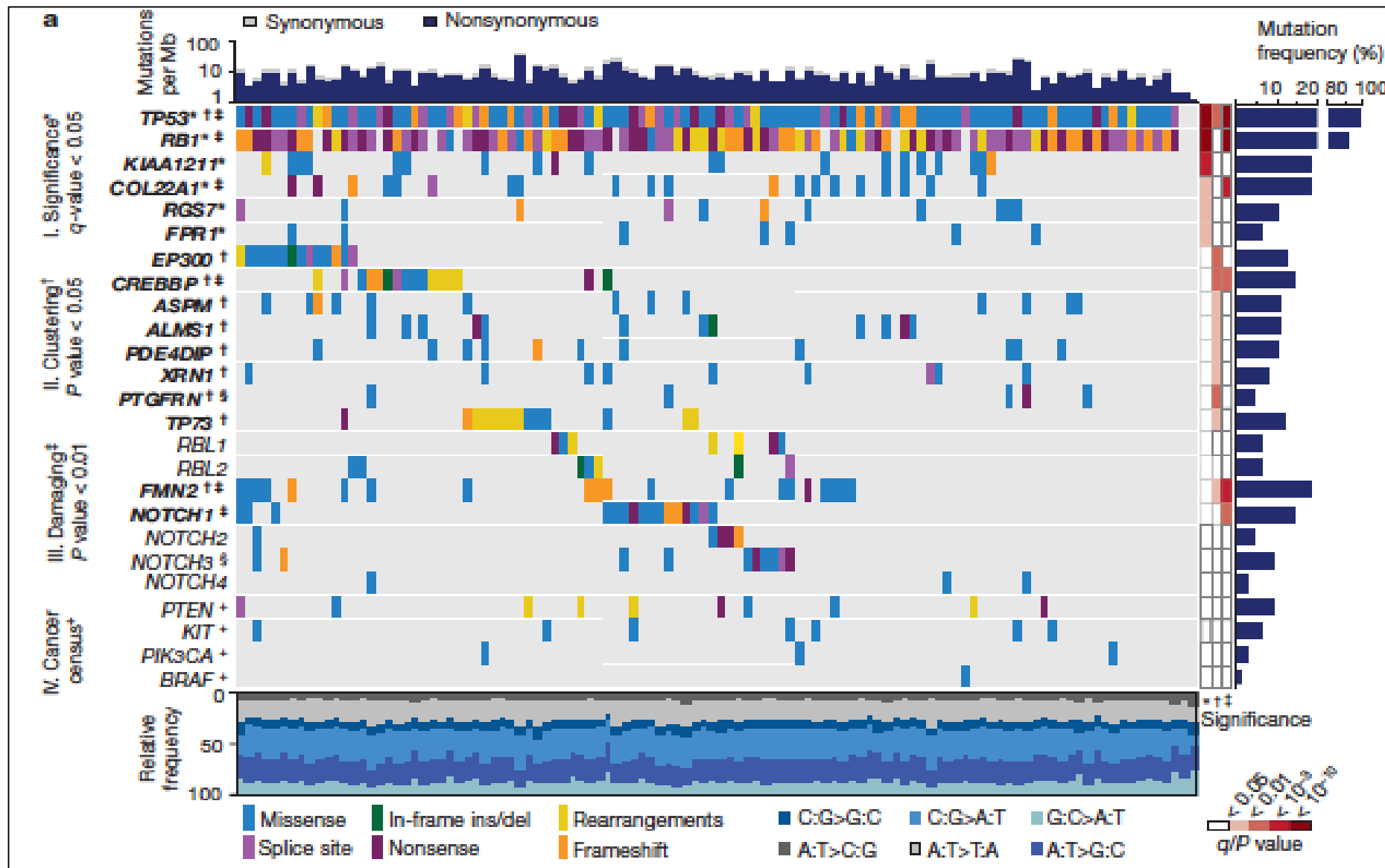
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## Other

- Hans Ghayee (U Florida)
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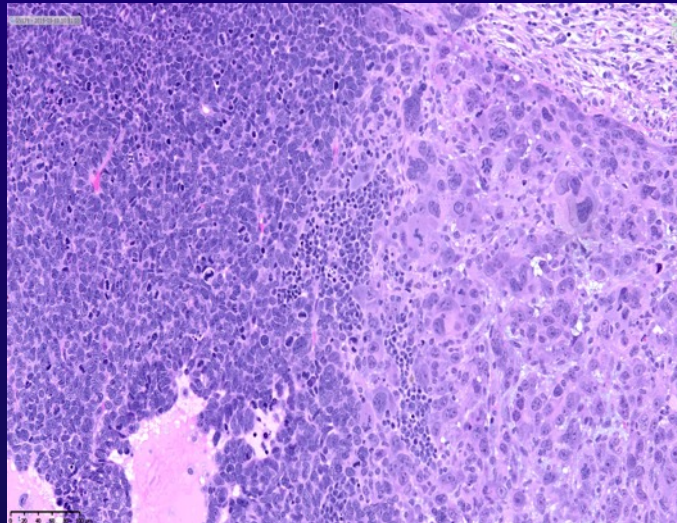
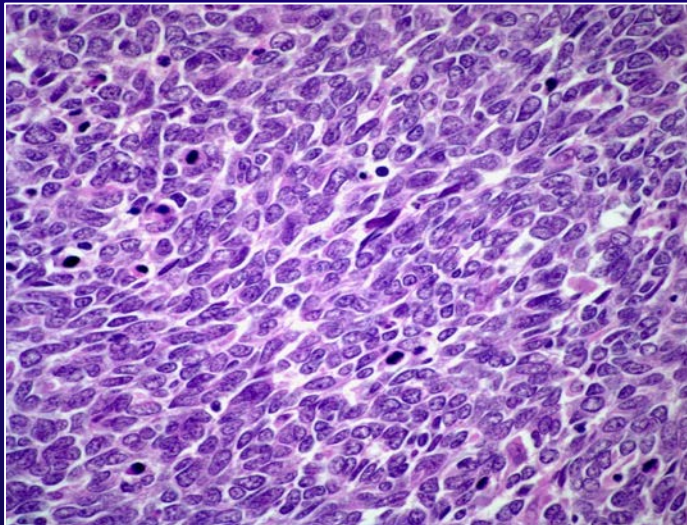
# Mutated genes in SCLC tumors (n = 81)



# Is SCLC a Homogenous Cancer?

- Only one morphologic type recognized by the WHO Classification 2015, although it may be combined with non-SCLC elements
- Clinically it is treated uniformly, with adjustments for tumor extent/staging
- No approved targeted therapies

SCLC



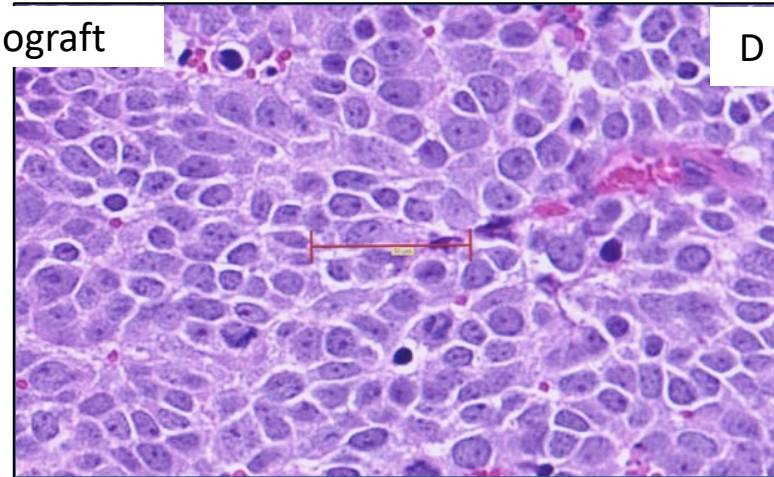
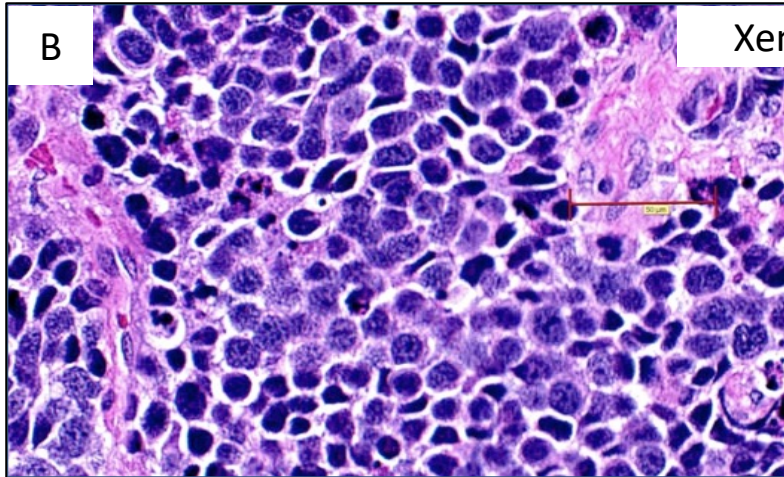
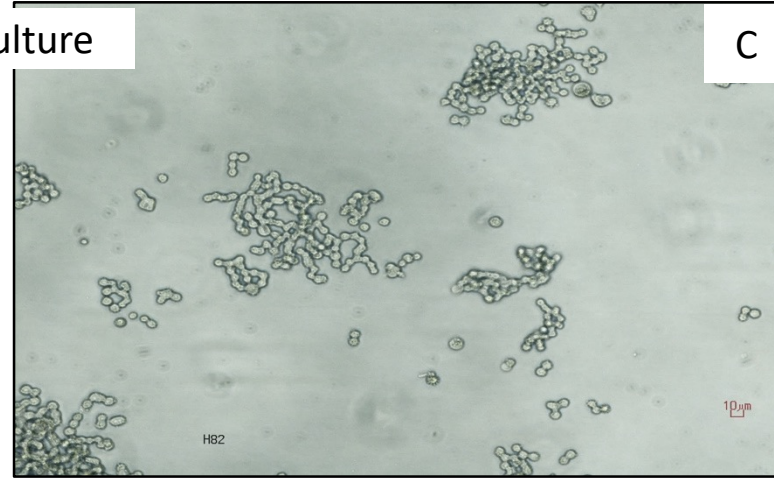
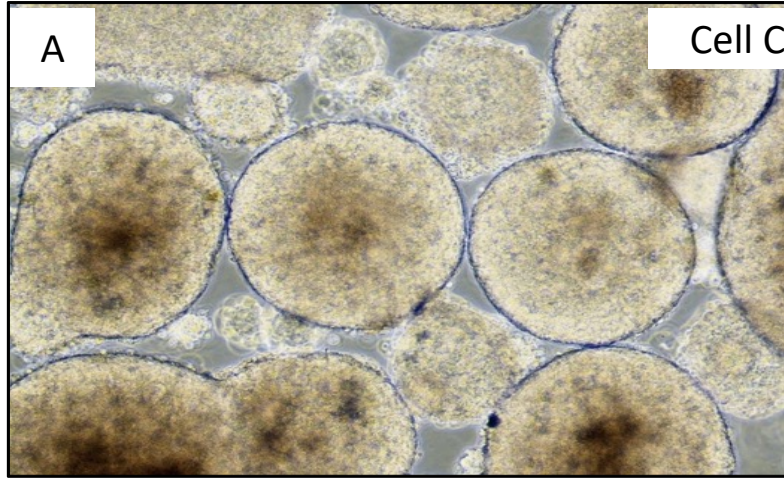
Combined SCLC  
(>10% NSCLC)



# "Classic" and "Variant" forms of SCLC

Classic SCLC  
NCI-H128 (NE=0.89)

Variant SCLC  
NCI-H82 (NE=0.21)

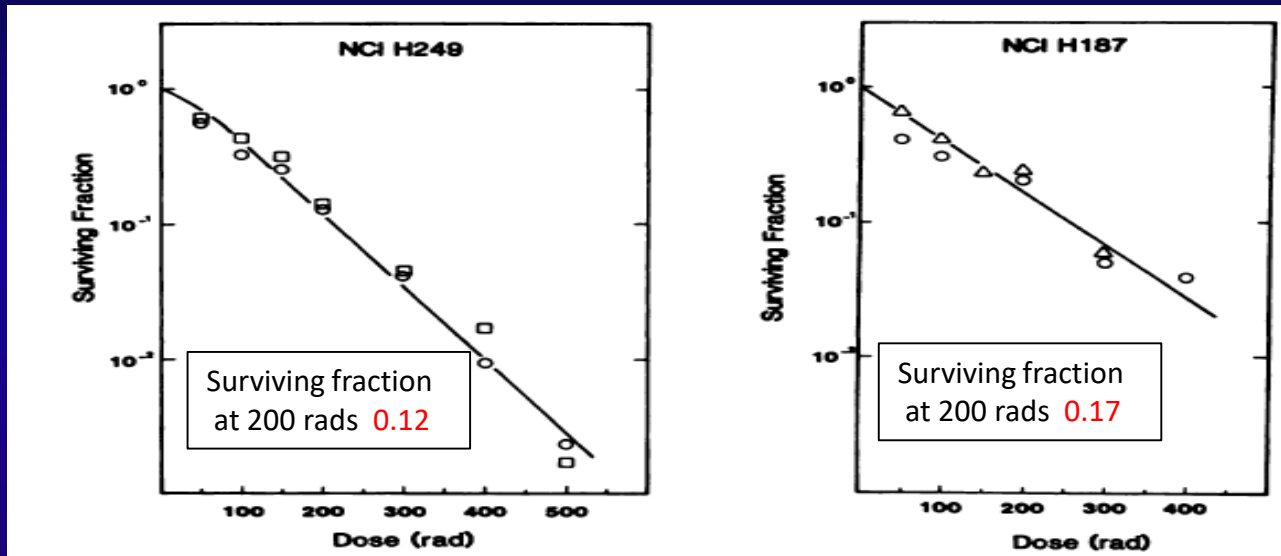


## Properties of variant SCLC

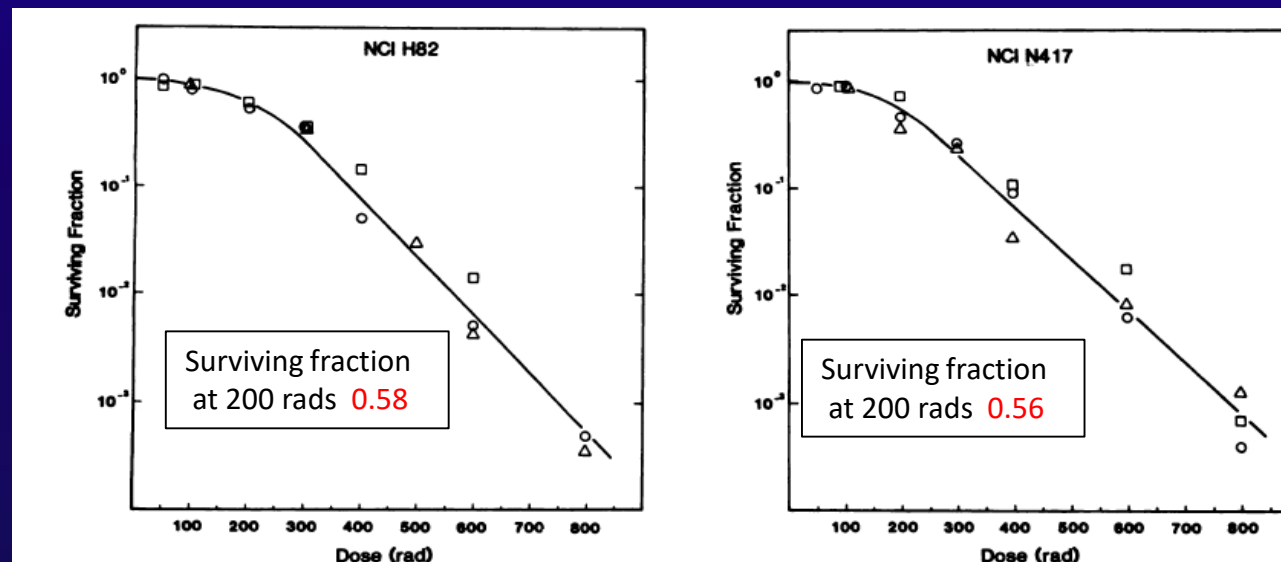
- Morphology
- Substrate attachment
- Increased growth & cloning
- MYC overexpression
- Radioresistance
- Decreased NE properties

# Radiation survival curves for classic and variant SCLC

Classic SCLC

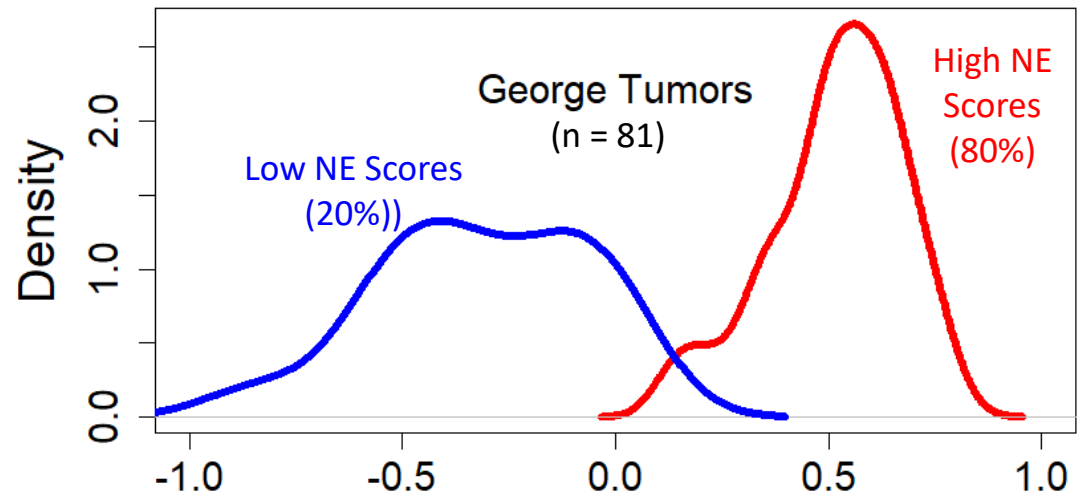
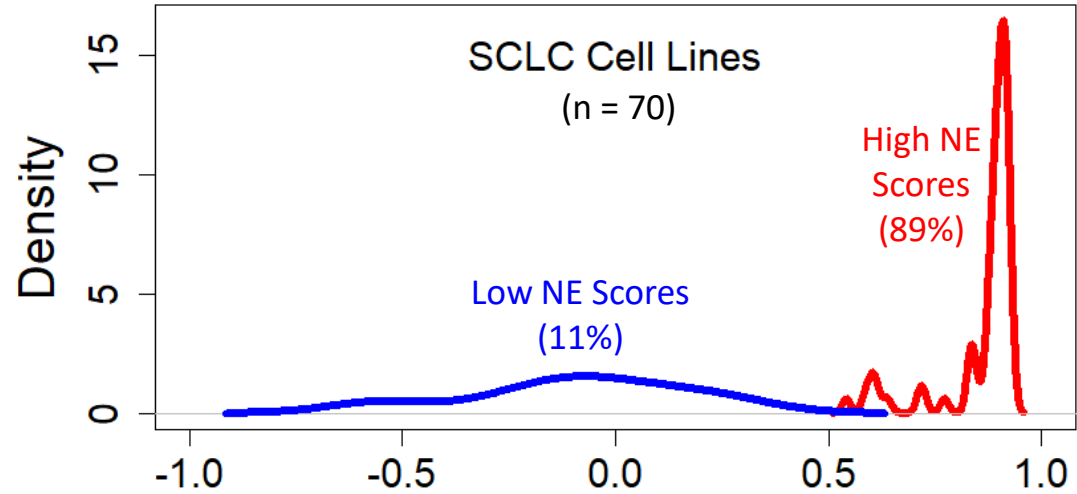
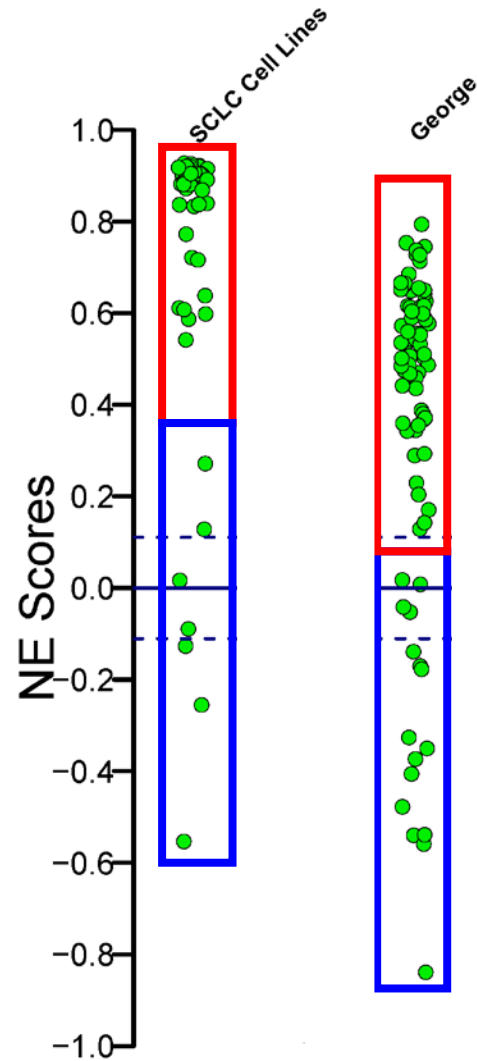


Variant SCLC  
Myc family amplified



# SCLC Cell Lines and Tumors Segregate in Low and High NE Subgroups

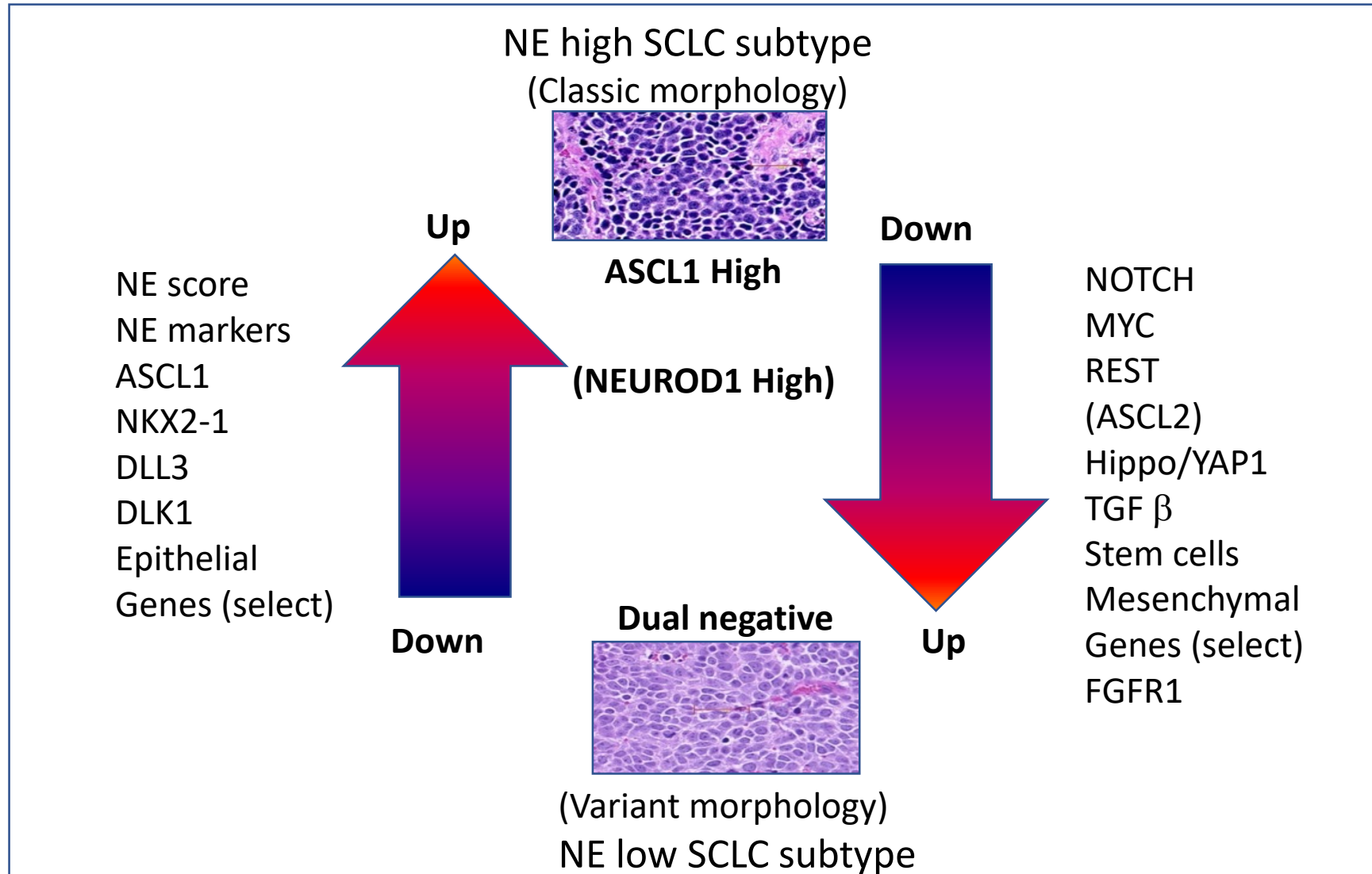
## k-Means Clustering (k = 2)



# Genes of interest and their relationship to NE differentiation in SCLC tumors (n = 81)

Correlation with NE score	Gene	<i>r</i> value	P value
Positive Correlation	ASCL1	<i>r</i> = 0.68	1.6E-6
	NEUROD1	<i>r</i> = 0.31	0.02
	DLL3	<i>r</i> = 0.64	7.4E-08
	SOX2	<i>r</i> = 0.31	8.0E-03
Negative Correlation	REST	<i>r</i> = -0.85	2.8E-09
	Hippo pathway (Ajuba)	<i>r</i> = -0.81	4.5E-05
	NOTCH Pathway (NOTCH1)	<i>r</i> = -0.46	9.4E-07
	MYC	<i>r</i> = -0.66	1.8E-06
	TGF Pathway (TGFB1)	<i>r</i> = -0.47	9.9E-04
	Stem cells (ALDH1A3)	<i>r</i> = 0.72	9.9E-06
	FGFR1	<i>r</i> = -0.52	0.46
	JAK/STAT Signaling (JAK2)	<i>r</i> = -0.44	8.5E-07
No Correlation	SLFN11, NFIB, MYCL, MYCN, EZH2, DDR1, ATR, CDK7, KDM1A (LSD1A)		

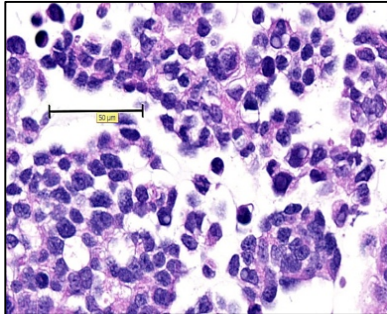
# Molecular Changes Associated with Loss of NE Differentiation in SCLC



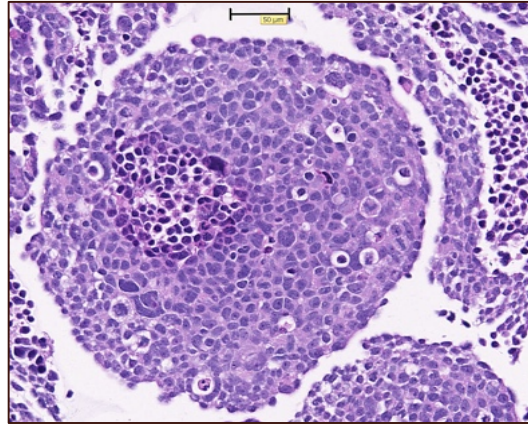
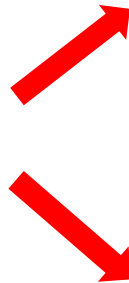


# Heterogeneity and Plasticity in SCLC Cell Line NCI-H69

Early passage



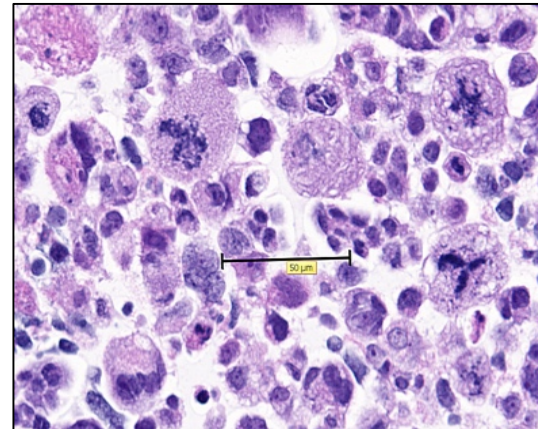
NE score 0.88



Spheroid Subline

NE score 0.87

- Morphology Classic
- ASCL1 High
- DLL3 High
- REST Absent
- MYC Low



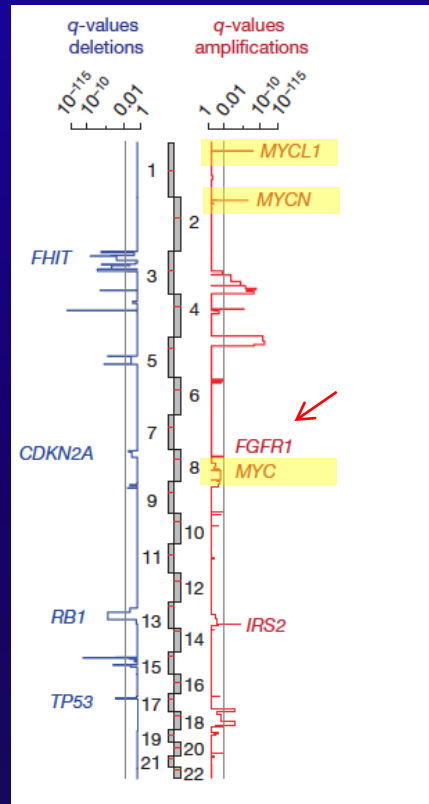
Attached Subline

NE score -0.2

- Morphology Different
- ASCL1 Low
- DLL3 Low
- REST High
- MYC High



# MYC family and FGFR1 amplification in SCLC



George et al, Nature, 2015

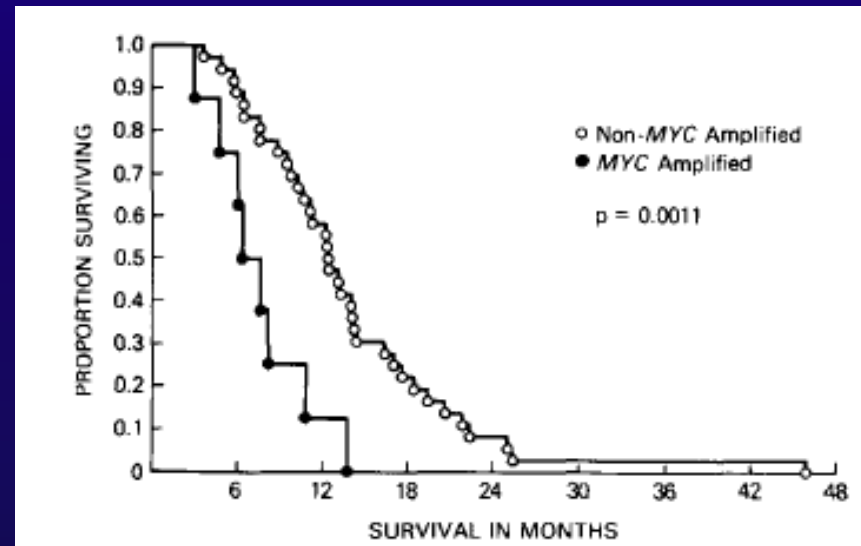
George, et al Nature, 2015

## Frequency of MYC amplification

Pretherapy 7/52 (11%)

Post therapy 16/44 (36%)

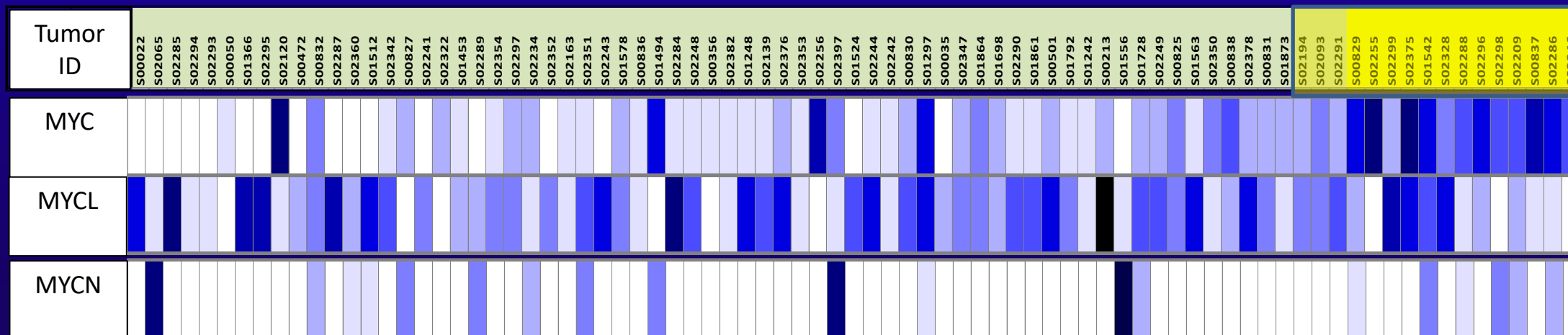
Johnson, Gazdar, Minna et al, J Cell Biochem 1996



# Myc Family Overexpression & Amplification

## NE Score High

## NE Score Low



MYC  $r = -0.66, p = 7.0E-05$

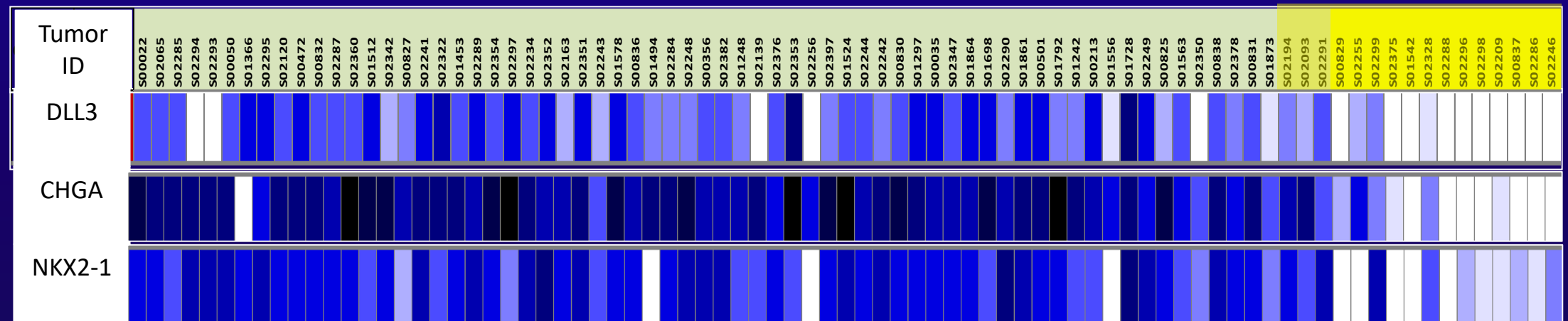
MYCL  $r = 0.12$ ,  $p = 0.5$

MYCN  $r = -0.21$ ,  $p = >0.5$

# Genes whose expression is positively correlated with NE differentiation SCLC Tumors (n = 81)

NE Score High

NE Score Low



DLL3  $r = 0.64$ ,  $p = 5.9E-06$

CHGA  $r = -0.77$ ,  $p = 6.9E-07$

NKX2-1  $r = 0.64$ ,  $p = 1.5E-04$

# Reports of NE high and low SCLC subtypes (some with responses to chemotherapy/targeted therapies)

First author (Year)	Specimens studied	Nomenclature for NE High	Nomenclature for NE low
Zhang (2018)	Tumors/Cell lines/GEMM	NE High	NE Low
George (2015)	Tumors/GEMM	NE High	NE Low
Cardnell (2017)	Tumors/Cell lines	High TTF1/Low cMYC	High cMYC/Low TTF1
Udyavar (2017)	Tumors/Cell lines	NE/epithelial	Non NE/mesenchymal
Ito (2016)	Cell lines	NE positive/YAP1 negative	NE negative/YAP1 positive
Mollaoglu (2017)	GEMM/Tumors/Cell lines	NE	NE low
Lim (2017)	GEMM	NE	Notch active, non-NE
Calbo (2011)	GEMM	Neuroendocrine profile	Mesenchymal profile
Stewart (2017)	Cell lines, PDXs	(High E-cadherin)	(EMT)

# Adaptive and Innate Immunity

- Adaptive immunity
  - Antigen specific responses
  - (3-5 days+)
- Innate immunity
  - Non-specific defenses activated by the antigen
  - (Within hours)

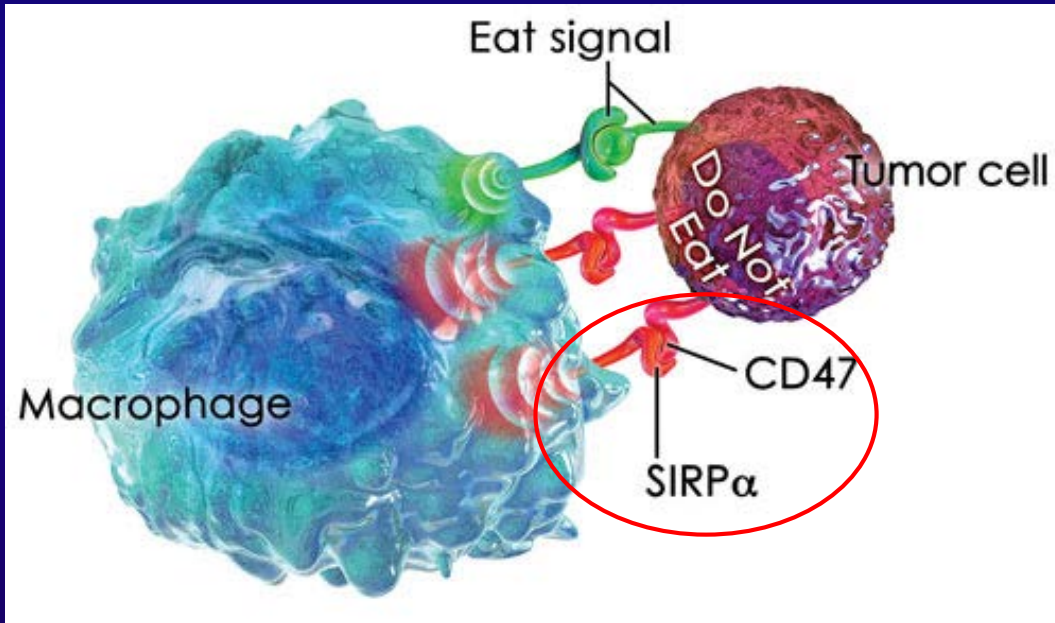
# Components of Innate Immunity (Tumor Microenvironment is Different in Low and High NE SCLCs)

## Punch line

- All of these components of the Innate Immune system (22 cell types, >200 genes) are highly up regulated in the low NE subtype of SCLC (p values 5.8E-03 to 2.6E-11)
- Cytokines signal through JAK/STAT, which is highly up regulated in the low NE subgroup

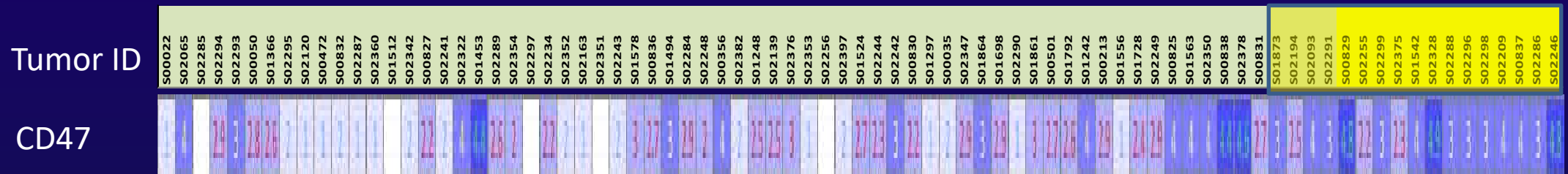
- Toll-like Receptors
- Cytokines (4 major families)
  - Interferon family including IFN $\gamma$
  - Interleukin family
  - Chemokine family
  - Tumor Necrosis Family
- Complement System
- Major Histocompatibility Complex (HLA antigens)
- The Inflammasome
- Cellular responses – immune & Inflammatory cells
  - Neutrophils
  - Macrophages
  - NK cells
  - Dendritic cells
  - Eosinophils

# Proposed SCLC Therapeutic Target: CD47 - The "Don't Eat Me!" Antigen (Upregulated in Low-NE Subtype)



Trillium Therapeutics

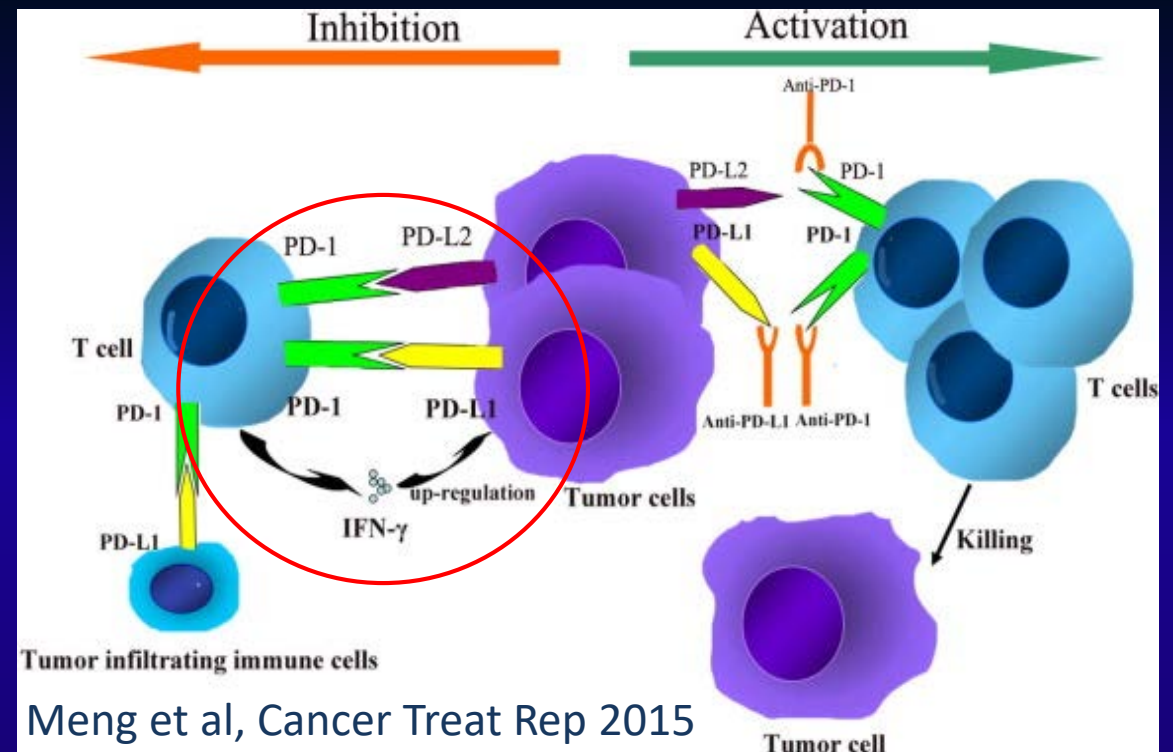
- CD47 is expressed at variable levels on the surface of most cells, including SCLC
- It binds to the SIRP $\alpha$  receptor on macrophages sending a "Don't Eat Me!" signal
- Blocking this interaction results in greater cancer cell kill



( $r = -0.54$ ,  $p = 2.0E-05$ )

# NE Differentiation and Checkpoint Inhibitor Immunotherapy

Higher in  
NE Low  
Subtype



Gene	Symbol	r	p
PD1	PDCD1	-0.4	6.0E-03
PD-L1	CD274	-0.30	0.09
PD-L2	PDCD1LG2	-0.51	0.9
Interferon $\gamma$ (IFN $\gamma$ )	IFNG	-0.26	6.0E-03
IFN $\gamma$ Signature (18 gene)	NA	NA	4.9E-04
CD44	CD44	-0.52	7.5E-03
No correlation	CTLA4, CD133		



# Predicted Therapeutic Responses of NE high and Low SCLC Subtypes

Therapy	Predicted Response NE High	Predicted response NE Low
Chemotherapy	Sensitive	Resistant
Radiotherapy	Sensitive	Resistant
PARP Inhibitors	Sensitive	Resistant
ROVA-T	Sensitive	Resistant
Topoisomerase inhibitors	Sensitive	Resistant
Polo-like kinase inhibitors	Resistant	Sensitive
HIPPO pathway Inhibition	Resistant	Sensitive
FGFR1 inhibitors	Resistant	Sensitive
JAK-STAT Inhibitors	Resistant	Sensitive
Immunotherapy (PD-1 blockage)	Resistant	Sensitive
CD47 Inhibitors	Resistant	Sensitive

# Summary: SCLC - Heterogeneity and Clinical Response

- Recently, many new molecular targets have been identified for SCLC and several are in clinical trial
- SCLC shows considerable inter-tumor heterogeneity, especially for expression of NE properties
- Inter-tumor heterogeneity extends to the infiltrating immune & inflammatory cells
- This heterogeneity must be considered for selection of subgroups more likely to respond to specific therapies

## What remains to be done?

- Heterogeneity observations must be extended to another large, fully characterized dataset
- Predictions for differential responses to targeted therapies need to be tested in prospective and retrospective trials
- Predictions for differential responses to PD-1 blockade must be confirmed in clinical trials